

Electronic Acknowledgement Receipt

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Application Number:	09911772		
International Application Number:			
Confirmation Number:	3927		
Title of Invention:	Securities market and market maker activity tracking system and method		
First Named Inventor/Applicant Name:	Stephen Cutler		
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Filer:	Morris David Galin		
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Attorney Docket Number:	CUTLP0102US		
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File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1		CUTSP0102USR03final.pdf	243966 fa50fb15f006e36ee4d7d7eb3d96c886e5f896d	yes	74
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Amendment/Req. Reconsideration-After Non-Final Reject		1		1	
Claims		2		47	
Applicant Arguments/Remarks Made in an Amendment		48		74	

Warnings:

Information:

Total Files Size (in bytes):	243966
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.